

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:)
Steven Koenck)
Serial No. 10/787,443)
Filed: February 26, 2004)
For: MODULAR, PORTABLE DATA)
PROCESSING TERMINAL FOR)
USE IN A COMMUNICATION)
NETWORK)
Examiner: Fred A. Casca)
Group Art Unit: 2687)
Confirmation No.: 7829)

LITIGATION STATEMENT PURSUANT TO MPEP § 2001.06(C) and INFORMATION
DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

The owner of record of the present application, Broadcom Corporation, is currently involved in several disputes with Qualcomm, Inc., including the following pending lawsuit and investigation:

- In the Matter of Certain Baseband Processor Chips and Chipsets, Transmitter and Receiver (Radio) Chips, Power Control Chips, and Products Containing Same, Including Cellular Telephone Handsets, U.S. International Trade Commission Case. No. 377-TA-543.
- Broadcom Corp. v. Qualcomm, Inc., Civil Action No. 05-468 in the Central District of California

Pursuant to MPEP 2001.06(c), the Applicants would like to bring to the attention of the U.S. Patent and Trademark Office that the subject matter currently being claimed in the present

application may be related to at least one of the patents currently asserted by Broadcom in the above-mentioned lawsuit and investigation. For the Office's benefit, Applicants enclose as Exhibit A a list of the patents currently asserted by Broadcom in the above-mentioned pending lawsuit and investigation.

The Applicants have also attached with this electronic submission a completed PTO form PTO/SB/08A which has twenty-nine (29) pages. Copies of each printed reference listed in the PTO/SB/08A form are attached in this electronic submission and nine additional electronic submissions (due to the number of references permitted to be submitted per electronic submission). Applicants, however, have not submitted U.S. Patents or other references previously provided to or by the PTO in this application. Four hundred and sixty-nine (469) references are attached in ten electronic submissions.

The references being submitted have been either cited, produced or relied upon by Qualcomm thus far during the above-mentioned lawsuit and/or investigation. This submission is in no way intended as an admission that the submitted references constitute prior art under any subsection of 35 U.S.C. §102 or §103. Applicant expressly retains the right to argue that any of the cited references are not indeed prior art or to take any actions necessary to remove any of the cited references from the available prior art.

The Examiner is requested to initial both copies of the enclosed PTO/SB/08A and return one copy to the applicants to indicate consideration of the enclosed references.

No fee is believed to be due because:

- * The applicant(s) believe(s) that this statement and enclosures are being filed before the first Office action on the merits has been mailed by the PTO. The basis of this belief is that no Office action on the merits appears to have been received by the undersigned to date.

The Commissioner is hereby authorized to charge any fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

Respectfully submitted,

Date: June 5, 2006

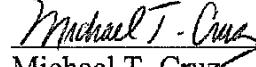

Michael T. Cruz
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EXHIBIT A

**Patents Currently Asserted by Broadcom in Civil Action No. 05-468 (C.D. Cal) and U.S.
International Trade Commission Case. No. 377-TA-543**

5,682,379

6,359,872

6,374,311

6,583,675

6,714,983

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 29

Complete if Known

Application Number	10/787,443
Filing Date	February 26, 2004
First Named Inventor	Steven Koenck
Group Art Unit	2687
Examiner Name	Fred A. Casca
Attorney Docket Number	14425US02

U.S. PATENT DOCUMENTS

Examiner Initial*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
A1	4,449,248		05-15-1984	Leslie et al.	
A2	5,027,428		06-25-1991	Ishiguro et al.	
A3	5,058,023		10-15-1991	Kozikaro	
A4	5,058,203		10-15-1991	Inagami, Fujio	
A5	5,142,534		08-25-1992	Simpson et al.	
A6	5,224,152		06-29-1993	Harte	
A7	5,301,225		12-23-1992	Suzuki et al.	
A8	5,375,254		12-14-1990	Owen	
A9	5,420,911		05-30-1995	Dahlin et al.	
A10	5,590,346		12-31-1996	West et al.	
A11	5,274,666		12-28-1993	Dowdell et al.	
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A15	5,377,192		12-27-1994	Goodings et al.	
A16	5,696,468		12-09-1997	Nise	
A17	5,757,239		05-25-1998	Gilmore	
A18	5,825,253		10-20-1998	Mathe et al.	
A19	5,910,752		05-08-1999	Filipovic et al.	
A20	6,348,841		02-19-2002	See	
A21	6,522,871		02-18-2003	Patrick et al.	
A22	6,583,675		06-24-2003	Gomez	
A23	6,593,826		07-15-2003	See	
A24	6,694,129		02-17-2004	Peterzell et al.	
A25	6,714,983		03-30-2004	Koenck et al.	
A26	6,731,146		05-04-2004	Gallardo	
A27	6,819,197		11-16-2004	Maldanado	
A28	6,823,033		11-23-2004	Fahim	
A29	6,888,913		05-03-2005	Walker	
A30	6,911,856		06-28-2005	Florescu	
A31	6,928,275		08-09-2005	Patrick et al.	
A32	6,937,872		08-30-2005	Krasner	
A33	4,734,694		03-29-1998	Umetsu et al.	

EXAMINER SIGNATURE		DATE CONSIDERED	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select option 2.

Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Application Number	10/787,443
(use as many sheets as necessary))				Filing Date	February 26, 2004
				First Named Inventor	Steven Koenck
				Group Art Unit	2687
				Examiner Name	Fred A. Casca
				Attorney Docket Number	14425US02
Sheet	2	Of	29		

A34	4,903,319	02-20-1990	Kasai et al.	
A35	4,964,121	10-16-1990	Moore	
A36	4,977,611	12-11-1990	Maru	
A37	5,128,938	07-07-1992	Borras	
A38	5,146,214	09-08-1992	Yamada et al.	
A39	5,203,020	04-13-1993	Sato et al.	
A40	5,224,150	06-29-1993	Neustein	
A41	5,275,254	01-04-1994	Shiraishi, et al.	
A42	5,680,311	10-21-1997	Trsar, et al.	
A43	5,745,523	10-27-1992	Dent et al.	
A44	6,359,872	03-19-2002	Mahany et al.	
A45	6,374,311	04-16-2002	Mahany et al.	
A46	6,764,983	07-20-2004	Hammond et al.	

FOREIGN PATENT DOCUMENTS						
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	B1					

OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Respondent Qualcomm Incorporated's Notice Of Prior Art, with Exhibits A & D attached thereto
	C2	Qualcomm's Response To Interrogatory 3, with Exhibits B & C attached thereto
	C3	INTENTIONALLY LEFT BLANK
	C4	INTENTIONALLY LEFT BLANK
	C5	"A New Chapter In Wireless Communication And Email", Electronic Messaging News, 11/27/1991, Vol. 3, No. 24
	C6	"A New Radio Access Protocol And Network Architecture For Mobile Packet Data", Cognito Group Limited, Bates Nos. QBB569268-323
	C7	"AMDC Extends RFP Deadline To March 10; Waiver Decision Expected At FCC's March Meeting", Industrial Communications, 02/17/1989, No. 7
	C8	"AMDC Picks Ericsson Gear", Communications Week, 06/12/1989, Vol. 252, p. 44
	C9	"An Effective Prioritization Scheme For Handovers In Cellular Networks", IEEE - ICUPC '92 Proceedings, 09/29/1992-10/02/1992, pp. 364-368
	C10	"Ardis Links With NCR Notepad 12/11/91", Newsbytes, 12/11/1991

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	C11	"Ardis To Face Competition From RAM", Newsbytes, 02/05/1991
	C12	"Automation In Warehousing", Proceedings Of The 8 th International Conference, Tokyo, Japan, 10/06-08/1987, pp. 1-355
	C13	"Autonomous Radio Stations Possible Over Mobitex", Industrial Communications, 12/09/1988, No. 48
	C14	"Bean Bullish On Growth Of Mobile Data In New Future", Industrial Communications, 02/15/1991, No. 7, p. 8
	C15	"Bellsouth Buys Into Ram's Nationwide Mobile Data Network", Industrial Communications, 10/18/1991, No. 42
	C16	"BellSouth Has Option To Buy RAM 10/11/91", Newsbytes, 10/11/1991
	C17	"BellSouth RAM In Joint Venture", BOC Week, 10/21/1991, Vol. 8, No. 40, p. 12
	C18	"Bellsouth, RAM To Form Mobile Communications Joint Venture", PR Newswire, 10/10/1991, p. 1
	C19	"Briefing Document For The Design Of The Diploma Messager MMI, 03/1989
	C20	"Broadband Lan Technology", 1988
	C21	"BT Opens New Service Center", Communications Week, 11/11/1991, p. 25
	C22	"Business Plan Appendix IV – Financial Projections"
	C23	"C Business Plan" Cognito Group Limited, 11/01/1990
	C24	"C Business Plan", Cognito Group Limited, 04/1990
	C25	"Canadian Cellular Industry (the) – Industry Report", First Marathon Securities Ltd. Industry Report, 10/25/1991, Investext Report No. 1154903, p. 30
	C26	"Cantel To Launch Mobile-Data And Paging Networks", The Gazette, Montreal Quebec, 05/10/1989, p. D1
	C27	"CDMA Digital CAI Standard, Cellular System Dual-Mode Mobile Station-Base Station Compatibility Standard, Draft Rev. 0", 07/31/1990, Bates Nos. QBB138614-832
	C28	"CDMA Digital CAI Standard, Cellular System Dual-Mode Mobile Station-Base Station Compatibility Standard, Draft Rev. 1", 10/01/1990, Bates Nos. QBB138833-9249
	C29	"CDMA Digital CAI Standard, Cellular System Dual-Mode Mobile Station-Base Station Compatibility Standard, Draft Rev. 1.1", 07/15/1991, Bates Nos. QBB139250-858
	C30	"Cellular System Dual-Mode Mobile Station – Base Station Compatibility Standard", EIA/TIA Interim Standard, 05/1990, IS-54
	C31	"Cellular System Mobile Station – Land Station Compatibility Specification", Office Of Science Technology Bulletin No. 53, 07/1983
	C32	"Change Request 05.02-11", Recommendation GSM 05.02, v. 3.3.0, 06/22/1989

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	C33	"Change Request 05.02-14", Recommendation GSM 05.02, v. 3.3.0, 11/28/1989
	C34	"Coded First To Jump On Mobitex Equipment Bandwagon; Sees Data Licenses As Worth As Much As Cellular", Industrial Communications, 06/09/1989, No. 22
	C35	"Communications Personals", Communications Daily, 02/11/1991, Vol. 11, No. 28
	C36	"Complexity, High Price Keep Some Customers Away: Mobile Datacoms Taxes Users", Communications Week International, 04/01/1991, p. 20
	C37	"Consolidation, New Ventures Going Full Speed Ahead In All Markets", Industrial Communications, 10/06/1989, No. 36, p.1
	C38	"Covering Note To Draft Rec. GSM 01.04 Version 2.00.01 - Vocabulary In A GSM PLMN", GSM 01.04 v. 2.00.01, 09/28/1990
	C39	"Cutting The Ties That Bind", InformationWeek, 04/01/1991, Vol. 314, pp. 25-30
	C40	"DEC On Move: Teaming With Ericsson In Mobile Data Market", Communications Week International, 03/18/1991, p. 1
	C41	"DEC Targets Mobile Data Market", Communications Week International, 03/18/1991, p. 9
	C42	"DEC-Ericsson Agreement", Communications Week, 11/11/1991, p. 25
	C43	"Descriptive Air Interface Protocol", Cognito Group Limited, V. 6.0, 08/19/1991
	C44	"Digital And Ericsson To Co-Operate On Mobile Data", Exchange, 11/01/1991, Vol. 3, No. 42
	C45	"Discontinuous Reception (DRX) in the GSM System", Recommendation GSM 03.13, v 3.0.2, 01/15/1990
	C46	"Editorial: Telecom Trends", Newsbyte News Network, 12/24/1991
	C47	"Ericsson - Company Report First Boston Corporation (The)", 06/10/1988, Investext Report No. 813838, p. 9
	C48	"Ericsson - Company Report: Hoare Govett Investment Research Ltd", 10/01/1990, Investext Report No. 1039045, p. 22
	C49	"Ericsson - Company Report: Prudential Securities Inc.", 03/19/1990, Investext Report No. 1001955, p. 10
	C50	"Ericsson - Company Report: Svenska International PLC", Ericsson Company Report, Svenska International PLC, Investext Report No. 1142535, 10/04/1991, p. 2
	C51	"Ericsson (L.M.) (Telefon A/B) - Company Report: FT Analysis", Ericsson (L.M.) (Telefon A/B) Company Report FT Analysis , Investext Report No. 6027183, 11/19/1991, p. 3
	C52	"Ericsson And Digital Cooperate On Mobile Communications", Newsbyte News Network 10/08/91, Newsbytes, 10/08/1991
	C53	"Ericsson And Digital Cooperated In Mobile Data", Business Wire, San Francisco, 10/07/1991, p.1

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	C54	"Ericsson And GE And Speedwing Mobile Communications Team Up To Bring Mobile Data Communications To Airports Worldwide", Business Wire, San Francisco, 09/17/1991, p. 1
	C55	"Ericsson And GE Have Formed Joint Venture", Communications Daily, 08/28/1989
	C56	"Ericsson Announces Communications Software", Industrial Communications, 03/01/1991, No. 9
	C57	"Ericsson GE And Melard Technologies Announce A Strategic Alliance In Mobile Data Communications", Business Wire, San Francisco, 09/30/1991, p. 1
	C58	"Ericsson GE And Racotek Announce A Strategic Relationship For Mobile Data Communications", Business Wire, San Francisco, 09/16/1991, p. 1
	C59	"Ericsson GE And Racotek Inc. In Marketing Supply Deal", Alliance Alert-Medical Health, Vol. 2, No. 10, 10/01/1991,
	C60	"Ericsson GE And Speedwing Mobile Commun In Marketing Supply Deal", Alliance Alert-Medical Health, 10/1991, Vol. 2, No. 10
	C61	"Ericsson GE Awarded \$11.6 Million Contract From The U.S. Navy", Business Wire, San Francisco, 11/10/1993, p.1
	C62	"Ericsson GE Awarded Contract From The City Of Richardson, Texas", Business Wire, San Francisco, 10/01/1991, p. 1
	C63	"Ericsson GE In Development Deal With Anterior And RIM", Newsbyte News Network, 11/20/1991
	C64	INTENTIONALLY LEFT BLANK
	C65	"Ericsson GE In Joint Ventures To Develop Wireless E-Mail, Telecommunications Alert, 11/19/1991, Vol. 8, No. 38
	C66	"Ericsson GE Mobile Communications New R&D Center Begins Operations In North Carolina", Business Wire, San Francisco, 11/27/1990, p. 1
	C67	"Ericsson GE Mobile Communications Opens Research And Development Center In North Carolina", Business Wire, San Francisco, 05/01/1990, p. 1
	C68	"Ericsson GE Mobile Communications To manage Its Worldwide Cellular Telephone Business From RTP, N.C.", Business Wire, San Francisco, 04/24/1991, p. 1
	C69	"Ericsson GE Mobile Data And BRM Mobile Data Sign Agreements With GE Consumer Service", News Release, 07/25/1991, p.1
	C70	"Ericsson GE Mobile Data And RAM Mobile Data Sign Agreements With GE Consumer Services", Business Wire, San Francisco, 05/09/1991, p. 1
	C71	"Ericsson GE, Anterior Technology & Research In Motion (RIM) – Announce Wireless Access For E-Mail Users", News Release, 11/18/1991, p. 1

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	C72	"Ericsson Gets \$55M Pact for Cellular Radio Data Gear", Electronic News (1991), p. 19, 06/12/1989
	C73	"Ericsson Has Data Unit" Communications Week, 01/08/1990, p. 8
	C74	"Ericsson Mobile Data Formed In New Jersey", Communications Daily, 01/05/1990
	C75	"Ericsson Signs \$55 Million Agreement With RAM Broadcasting For Mobile Data Network", Industrial Communications, 06/02/1989, No. 20
	C76	"Ericsson To Introduce Radio Modem Next Month", Telecommunications Alert, 12/17/1991, Vol. 8, No. 56
	C77	INTENTIONALLY LEFT BLANK
	C78	"Ericsson/GE Announces New Agreements", Industrial Communications, 10/04/1991, No. 40
	C79	"Ericsson: Breakthrough \$55 Million Agreement For Mobile Data Network In U.S.A.", Edge, 06/12/1989, Vol. 4, No. 64
	C80	"Ericsson's Mobitex Mobile Data System Is Chosen By Cantel For Nationwide Public Mobile Data Service In Canada", News Release, Ericsson (LM) Telephone, 12/01/1988, p. 1
	C81	"European Digital Cellular Telecommunications System (Phase 1), Network Functions", GSM 03.01 v 3.1.1, 02/1992
	C82	"European Digital Cellular Telecommunications System (Phase 2), Discontinuous Reception (DRX) In The GSM System", GSM 03.13, v. 4.0.1, 07/01/1993
	C83	"European Digital Cellular Telecommunications System (Phase 2), Functions Related To MS In Idle Mode", GSM 03.22, Version 4.4.0, 06/25/1993
	C84	"European Digital Cellular Telecommunications System (Phase 2), Mobile Stations (MS) Features", GSM 02.07, 10/1993
	C85	"European Digital Cellular Telecommunications System (Phase 2), Mobile Stations Features", GSM 02.07, v. 4.4.1, 06/07/1993
	C86	"FCC Calendar Of Events For The Week Of February 11", FCC Daily Digest, 02/08/1991, Vol. 10, No. 27
	C87	"IBM, Motorola Join Networks Forming 2-Way Data Offering", Industrial Communications, 02/02/1990, No. 5, p. 1
	C88	"Industry Briefs", Network World, 10/14/1991, Vol. 8, No. 41, pp. 9, 11
	C89	"Lead Story #2: Swedish Government Picks Magnovox AVL For Upcoming Trials, Inside IVHS, 02/04/1991, Vol. 1, No. 3
	C90	"Man-Machine Interface Of The Mobile Station", Recommendation GSM 02.30, v 3.5.0, 03/1990
	C91	"Minigrams", Computergram International, no. 1805, CGI11150023, 11/15/1991

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				First Named Inventor	Steven Koenck
				Group Art Unit	2687
				Examiner Name	Fred A. Casca
				Attorney Docket Number	14425US02

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				First Named Inventor	Steven Koenck
				Group Art Unit	2687
				Examiner Name	Fred A. Casca
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				Examiner Name	Fred A. Casca
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	C467	File History of USSN 08/513,648 ('983 file history), Bates Nos. BCMITC000071666-72400
	C468	File History of USSN 09/060,287 ('311 file history), Bates Nos. BCMITC000071327-71665
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	C470	General on Terminal Adaptation Functions For The MSs, GSM 07.01 v 3.14.0, 04/01/1992
	C471	GSM PLMN Connection Types, GSM 03.10 v 3.3.0, 02/1992
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	C473	HAINE, "A New Radio Access Protocol And Network Architecture For Mobile Packet Data", Proc. Of Vehicular Technology Conference, 05/19-22/1991, pp. 399-407
	C474	HENDERSON, "Public Data Networks: The Mobicex System", Paper Presented At The IEEE Vehicle Navigation And Information Systems Conference (VNIS '89), 1989, pp. 365
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	C480	Landmobile And Marine Radio Technical Handbook, 1985
	C481	LEE, Mobile Cellular Telecommunications Systems, 1989, Bates Nos. QBB147008-463
	C482	List of Change Request Presented To SMH Plenary No 6, Rev 1, SMG 305/93, 03/29/1993
	C483	LYCKSELL, "Mobicex, ett kommunikations-radionät för text, data och tal" (A Radio Communication Network For Text, Data And Speech), 1986
	C484	Mobile Information Systems, 1990
	C485	Mobile Radio Interface Layer 3 Specification, GSM 04.08 v 4.2.0, 10/1992, Bates Nos. QBB479485-977
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	C488	INTENTIONALLY LEFT BLANK
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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known	
				Application Number	10/787,443
				Filing Date	February 26, 2004
				First Named Inventor	Steven Koenck
				Group Art Unit	2687
				Examiner Name	Fred A. Casca
Sheet	29	Of	29	Attorney Docket Number	14425US02

OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C495	INTENTIONALLY LEFT BLANK
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	C498	Radio Equipment And Systems (RES); Digital ESTI Radio Equipment And Systems (RES); Digital Equipment Telecommunications (DECT) Common Interface Part 5: Network Layer, ETS 300 175-5, 10/01/1992
	C499	Radiodetermination Satellite Services And Standards, 1987
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	C509	SMH Meeting Report, Doc 255/92, 03/30/1992
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